

**UNITED STATES HOUSE OF REPRESENTATIVES****FINANCIAL DISCLOSURE STATEMENT****FORM B**

For New Members, Candidates, and New Employees

Name: Eliot - Dubiv'N

Daytime Telephone

<input checked="" type="checkbox"/> FILER STATUS	New Member of or Candidate for U.S. House of Representatives Candidates – Date of Election:	State: <u>New York City</u> District: <u>12</u>	<input type="checkbox"/> Check if Amendment
	New Officer or Employee Employing Office: _____	Staff Filer Type (if Applicable): Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>	Period Covered: January 1, _____ to _____
<p style="text-align: right;">U.S. House of Representatives Legislative Disclosure Committee</p> <p><i>(Office Use Only)</i></p> <p><i>✓ A \$200 penalty shall be assessed against any individual who files more than 30 days late.</i></p>			

**PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS**

A. Did you, your spouse, or your dependent child:  
 a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period?   
 b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?

C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?

D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?

Yes  No

Yes  No

Yes  No

Yes  No

E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? Yes  No

F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? Yes  No

G. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years? Yes  No

**ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"****EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS**

**TRUSTS** – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded \_\_\_\_\_ from this report details of such a trust that benefits you, your spouse, or dependent child?

**EXEMPTION** – Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes  No

Yes  No

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LEGISLATIVE RESOURCE CENTER

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## **SCHEDULE A – ASSETS & “UNEARNED INCOME”**

Name \_\_\_\_\_

Page \_\_\_\_\_  
of \_\_\_\_\_

Assets and/or Income Sources												Value of Asset												Type of Income												Amount of Income											
BLOCK A						BLOCK B						BLOCK C						BLOCK D																													
<p>Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use only ticker symbols).</p> <p>For all <b>IRAs</b> and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.</p> <p>For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p><b>Exclude:</b> Your personal residence, including second homes and vacation homes (<i>unless</i> there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you report a privately-traded fund that is an Excepted Investment Fund, please check the EIF- box.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP), or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.</p>												<p>Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."</p> <p>"Column M is for assets held by your spouse or dependent child in which you have no interest.</p>												<p>Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, Interest, and Capital Gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.</p> <p>"Column XII is for assets held by your spouse or dependent child in which you have no interest.</p>												<p>Check all columns that apply. For accounts for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, Interest, and Capital Gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated.</p>											
<p>SP, DC, JT Examples:  <i>✓</i> Mage Corp Stock <i>✓</i> Simon &amp; Schuster <i>✓</i> ABC Hedge Fund  <i>✓</i> Chase Bank  <i>✓</i> Sister's Liters</p>																																															
<p>None</p> <p>\$1-\$1,000</p> <p>\$1,001-\$15,000</p> <p>\$15,001-\$50,000</p> <p>\$50,001-\$100,000</p> <p>\$100,001-\$250,000</p> <p>\$250,001-\$500,000</p> <p>\$500,001-\$1,000,000</p> <p>\$1,000,001-\$5,000,000</p> <p>\$5,000,001-\$25,000,000</p> <p>\$25,000,001-\$50,000,000</p> <p>Over \$50,000,000</p> <p>Spouse/DC Asset over \$1,000,000*</p>												<p>A      B      C      D      E      F      G      H      I      J      K      L      M</p>												<p>I      II      III      IV      V      VI      VII      VIII      IX      X      XI      XII</p>												<p>I      II      III      IV      V      VI      VII      VIII      IX      X      XI      XII</p>											
<p>NONE</p> <p>DIVIDENDS</p> <p>RENT</p> <p>INTEREST</p> <p>CAPITAL GAINS</p> <p>EXCEPTED/BLIND TRUST</p> <p>TAX-DEFERRED</p>																																															
<p>Other Type of Income (Specify: e.g., Partnership Income or Farm Income)</p>																																															
<p>None</p> <p>\$1-\$200</p> <p>\$201-\$1,000</p> <p>\$1,001-\$2,500</p> <p>\$2,501-\$5,000</p> <p>\$5,001-\$15,000</p> <p>\$15,001-\$50,000</p> <p>\$50,001-\$100,000</p> <p>\$100,001-\$1,000,000</p> <p>\$1,000,001-\$5,000,000</p> <p>Over \$5,000,000</p> <p>Spouse/DC Income over \$1,000,000*</p>																																															
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*Use additional sheets if more space is required.*

## SCHEDULE D – LIABILITIES

Name: Elliott Thabrew Page \_\_\_\_\_ of \_\_\_\_\_

## SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. **New Members and second-year candidates** report positions held in the reporting period and the current calendar year. **First-year candidates and new employees** report positions held in the current calendar year and two previous years.

<p>Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. <b>Exclude:</b> Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. <b>New Members</b> and <b>second-year candidates</b> report positions held in the reporting period and the current calendar year. <b>First-year candidates and new employees</b> report positions held in the current calendar year and two previous years.</p>	
Position	Name of Organization
<i>Mother / Share Worker</i>	<i>Peter Elliot Woman Rep Peter Elliot Little Annie Lee Ctrg.</i>

## SCHEDULE F – AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
8/1/14	Self-employed - currently 40 years - current	

**SCHEDULE J – COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. **Exclude:** Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. **Do not repeat information listed on Schedule C.**

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and <b>two</b> prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. <b>Exclude:</b> Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. <b>Do not repeat</b> information listed on Schedule C.	
<b>Source (Name and City/State)</b>	<b>Brief Description of Duties</b>
Example: Doe Jones & Smith, Hometown, Homestate	Accounting Services
<i>Ellie Malone, MPA, NY</i>	<i>Ceo &amp; owner - Economic services as to business never exceeded \$5,000/year &amp; am now in Day to Week</i>

*Use additional sheets if more space is required.*

**FILER NOTES  
(Optional)**

Name:

Page \_\_\_\_\_ of \_\_\_\_\_

**NOTE  
NUMBER**

## NOTES

1. My company - Peter Elliot - has just emerged from a lengthy  
situation due to Harvard - Harvard commercial dispute.

**Use additional sheets if more space is required.**

OCT 12 2018

LEGISLATIVE RESOURCE CENTER

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U.S. HOUSE OF REPRESENTATIVES

REGARDING FINANCIAL DISCLOSURE REQUIREMENT  
CAMPAIGN NOTICE

If you have not yet raised (either through contributions or loans from yourself or others) or spent in excess of \$5,000 for your campaign, or if you have withdrawn (self or others) or spent in excess of \$5,000 for my campaign for the U.S. House of Representatives.

Dear Madam Clerk:

Washington, DC 20515-6601

135 Cannon House Office Building

Legislative Resource Center

Office of the Clerk, U.S. House of Representatives

The Honorable Karen L. Haas, Clerk

(Select One)

Over \$5,000

Exceeded  
Threshold Not

Withdrawal  
of Candidacy

This is to notify you that I have not yet raised (either through contributions or loans from myself or others) or spent in excess of \$5,000 for my campaign for the U.S. House of Representatives.

I understand that when I do raise or spend in excess of \$5,000 for my campaign, I must file a Financial Disclosure Statement with the Clerk of the House of Representatives according to the deadlines set out on pages 2 and 3 of the Financial Disclosure Instruction booklet, a copy of which has been provided to me by the Clerk.

This is to notify you that under the laws of the state of \_\_\_\_\_ I withdrew my candidacy for the U.S. House of Representatives on \_\_\_\_\_

Note: If your Financial Disclosure Statement was due before the date on which you

withdrew from the race, you still must file a Financial Disclosure Statement with the House.

as Updated 10/2014

(THIS PAGE WILL BE MADE PUBLICLY AVAILABLE)

RETURNS COMPLETED STATEMENT  
The Clerk, U.S. House of Representatives  
Legislative Resource Center  
135 Cannon House Office Building  
Washington, DC 20515-6601